





Centrale de Technologie en Micro et nanoélectronique

# **CR-AFM** (Contact Resonance Atomic Force Microscopy)

# Atelier DFRT Nanomécanique

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## **SUMMARY**

- **1. Contact-resonance AFM : Introduction**
- 2. Indirect vs direct modulation methods
- 3. Modelling of the cantilever dynamics Analytical models Finite elements modelling
- 4. Contact mechanics
- 5. Calibration of the cantilever's force constant
- 6. Calibration of contact resonance frequency
- 7. Imaging techniques (from fixed frequency to DFRT)
  - 8. Some recent advances

## **AFM nanomechanics : 2 different approaches**

1. « Vibrating » contact mode

**At low frequencies** Force modulation (Maivald 1991)

At high frequencies

Ultrasonic force microscopy (UFM) (Kolosov 1993)

Contact-resonance (Yamanaka, Arnold 1994)



DFRT (Dual Frequency resonance tracking) (Rodriguez 2007) DART (Dual AC resonance tracking) (Asylum 2011)

- 2. Force distance spectroscopy approaches
  - Force distance curves (1986)
  - Force volume (mid 1990's)

Pulsed Force microscopy (van der Werf 1994) (Witec early 2000's)

Harmonix (Sahin 2007), Peak-Force (Bruker 2008), QI (JPK 2012)

### **THE ANCESTOR OF CR-AFM**

#### Force modulation microscopy



**Figure 1.** Schematic diagram of our AFM. Laser light is focused on a cantilever that reflects it onto a segmented photodiode. The photodiode senses the deflection of the reflected beam and thus the deflection of the cantilever. In operation a feedback loop controls the vertical position of the sample and hence the force exerted on it by the cantilever. This is accomplished by moving the sample up and down as it is scanned.

#### **Maivald Nanotechnology 1991**



**Figure 4.** Diagram showing the modulation applied to the *xyz* translator,  $\Delta V_m$ , and the corresponding photodetector response,  $\Delta V_d$ .

The quantity  $\Delta z_d / \Delta z_m$  is then used to create a force modulation image.

$$k_{\rm s} = \Delta F / (\Delta z_{\rm m} - \Delta z_{\rm d}) = k_{\rm c} / (\Delta z_{\rm m} / \Delta z_{\rm d} - 1)$$

$$\Delta z_{\rm m}/\Delta z_{\rm d} = k_{\rm c}/k_{\rm s} + 1.$$

For  $k_c/k_s \ll 1$ , variations in  $k_s$  are not picked up since the response in  $\Delta z_d$  is too small.

### **THE ANCESTOR OF CR-AFM**



**Figure 2.** Image of the carbon fibre and epoxy composite in air. Intensity corresponds to (a) height in the topographic image, (b) stiffness in the force modulation image. Image width is  $32 \,\mu$ m.

k<sub>c</sub>=3000 N/m

r=10 µm

Δz<sub>m</sub>=25nm

Hertz model

 $\Delta F = (M_s^2 r d^3)^{1/2}$ 

 $M_{\rm s} = (\sqrt{2}k_{\rm c}/a_{\rm s})[R(\Delta V_{\rm m}/\Delta V_{\rm d}) - 1]^{-1}$ .  $M_{\rm s}$ : indentation modulus

#### On infinitely hard sample

$$\Delta z_{\rm d} / \Delta z_{\rm m} = 1$$
, and  $R = \Delta V_{\rm d} / \Delta V_{\rm m}$ 

#### **R** : calibration factor

 $\Delta z_{\rm d}/\Delta z_{\rm m} = (1/R)\Delta V_{\rm d}/\Delta V_{\rm m}$ 

For the epoxy, we measure  $\Delta V_d / \Delta V_m = 0.10$ , resulting in a measured modulus  $M_s = 7.0 \times 10^{10}$  Pa.

Calculation very sensitive to the value chosen for a<sub>s</sub> (contact radius)

### **ULTRASONIC FORCE MICROSCOPY (UFM)**



Quantification not easy

# **CR-AFM**

**IDEA:** To probe the local elastic deformations of the tip-sample system by means of cantilever's resonance frequency in contact mode



( $\delta$  elastic indentation depth)

First approximation : contact = spring = normal contact stiffness

$$k_N = \frac{\partial F}{\partial \delta} = 2aE^*$$

 $m{k}_N$  depends on :

the contact area
Elastic properties of the surface (E,v)

The variation of k<sub>N</sub> according to F is predicted by Contact Mechanics Theory

# **CR-AFM**



Amplitude of vibration and resonance frequency depends on the contact stiffness k<sub>n</sub>

**<u>Problem to solve</u> : 1. Relation between resonance frequency and k<sub>N</sub>** 

2. Relation between k<sub>N</sub> and E

# **INDIRECT MODULATION METHODS**



# **INDIRECT MODULATION METHODS**



Possible subsurface observation of subsurface defects 🐸 **Possible mechanical coupling effects** 

# **DIRECT MODULATION METHODS**

#### The cantilever is <u>directly</u> excited

#### **Magnetic excitation**

10 µm



Cantilevers with magnetic particles glued at their extremity

#### O. Piètrement, PHD Thesis (2000)







# **DIRECT MODULATION METHODS**



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# **DIRECT MODULATION METHODS**

#### **Elastic Modulus of suspended nanotubes**



**Fig. 2:** Typical resonance spectrum measured for a cantilever in contact with a nanotube (logarithmic ordinate axis) (see text for peak labeling). In the insert, the resonance peak of the free cantilever is given for comparison purposes.

# **CR-AFM**



**Objective:** to find the link between resonance frequency and contact stiffness



Normal and horizontal components of the tip displacement

**CONTACT** = A normal spring  $k_N$  + An horizontal spring  $k_T$ 

2 situations 
$$\begin{cases} k_T = cte \neq 0 \implies & \text{ Glued contact } \\ k_T = 0 \implies & \text{ Sliding contact } \end{cases}$$

# **ANALYTICAL MODELS**

- $\checkmark$  The most frequently applied model
- $\checkmark$  Resolution of the Euler-Bernouilli beam equation with adapted limit conditons
- ✓ Analytical model allows to understand the influence of several parameters:  $k_T$ , height and position of the tip, excitation mode (direct or indirect excitation)...



**Problem:** Analytical model do not allow to take into account the exact geometry Of the cantilever (V-shaped...) and the distributed electrostatic excitation.

$$-EI\frac{\partial^{4}z}{\partial y^{4}}dy = (\rho Sdy)\frac{\partial^{2}z}{\partial t^{2}}$$
Clamped-free  
oscillations  
Harmonic solution  $z(y,t) = z(y)\sin \omega t$   
 $z(y) = Ae^{\beta y} + Be^{-\beta y} + Ce^{i\beta y} + De^{-i\beta y}$   
A, B, C, D determined from  
boundary conditions  
 $z = 0$  and  $\frac{\partial z}{\partial y} = 0$  for  $y = 0$   
 $\frac{\partial^{2}z}{\partial y^{2}} = 0$  and  $\frac{\partial^{3}z}{\partial y^{3}} = 0$  for  $y = l$   
System of 4 equations

With 4 unknowns A, B, C, D





Beam deformation of modes n



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### FINITE ELEMENT MODELLING (FEM)



✓ Tip (kinetic nrj) and contact (potential nrj) = Additional elements in the matrix

**Natural frequencies** 

**Forced vibrations** 

$$[[K] - \omega^2 [M]] q = \{F\}$$

### **FINITE ELEMENT MODELLING (FEM)**



## Experimental verification of FEM model

**Top:** apparent amplitude versus excitation frequency calculated for a different contact stiffness. The tangential stiffness is taken as  $\mathbf{k}_T = 2/3 \mathbf{k}_N$ . All curves include a damping effect, obtained by multiplying the stiffness real values by the arbitrary complex number: 1 + i/5, in order to be more similar to the experimental curves below. **Bottom:** apparent amplitude measured on a polyurethane sample (PU 3420) for an applied force increasing from -8.7 nN (closed to the pull-off force) to 89 nN.

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Increasing F_{res} with F_{Applied} (or k_N)
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Node of detection (disparition of the resonance peak for specific values of  $k_N$  !)

> Arinero, Levêque, Rev. of Sc. Inst., 74, 2003

### **FINITE ELEMENT MODELLING (FEM)**



#### **Resonance frequency of the AFM cantilever, in two cases :**

The sliding contact case ( $k_T = 0$ ) and the no-sliding contact ( $k_T = 2/3 k_N$ ).

Dots : experimental points corresponding to two polyurethane samples (PU3455 and PU3420).

# **CONTACT MECHANICS**



# **CONTACT MECHANICS**



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### **Thermal noise**

Hutter 1993 Butt 1995

Acquisition of the thermal noise during a finite time interval + Fourier transform

Mean square amplitude of thermally driven cantilever can be used to calibrate k<sub>i</sub>



#### http://www.ampc.ms.unimelb.edu.au/afm/calibration.html



Back to Introduction

Atomic Force Microscope Cantilevers (Calibration method of Sader)





Sader Method - iPhone and Web Apps

Bibliography on AFM Cantilevers and Force Measurements

#### **Online** Calibration

- Normal spring constant
- Torsional spring constant

To use these Java applets to perform an online calibration of the normal and torsional spring constants of rectangular AFM cantilevers, just enter the length and width (in microns), the appropriate fundamental resonant frequency (in kHz) and the corresponding quality factor, and press the calculate button.

# **COMPLETE PROTOCOL EXAMPLE**

### **Polymer samples**



Theoretical part : 1. Calculation of k<sub>N</sub> using FEM

2. k<sub>N</sub> versus F<sub>applied</sub> curves are fitted by a wall adapted contact mechanics model

### **CALIBRATION OF CONTACT RESONANCE FREQUENCY**

#### **MOTIVATIONS :** Problems related to previous method

 The data involved in the different models (R, k<sub>1</sub>...) are not reliable enough

(The apex of the tip is not exactly a sphere)

- ${\boldsymbol{\cdot}}$  In the case of very hard materials  $\delta$  is indetectable
- Contact models are isotropic
- <u>IDEA</u>: We want to directly link resonance frequency and elastic modulus Based on the master curve obtained with reference samples

**REFERENCE**1. Must cover a broad range of modulusSAMPLES:(polymers, metals, cristals, ceramics)

- 2. Must be homogeneous
- 3. Must be stable in the time

### **CALIBRATION OF CONTACT RESONANCE FREQUENCY**

### **MASTER CURVE FOR A PARTICULAR CANTILEVER**



# **EXAMPLE CASE STUDY**



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# Mechanical properties of heterogeneous nuclear fuels at the submicrometer scale

### **UMo Nuclear Fuel**

Laux, Arinero, CFM (2005)



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### **ELASTIC CONTRAST AT A FIXED IMAGING FREQUENCY**



**figure VI.13 :** Couches de la paroi cellulaire du bois de chêne vert. A gauche, images topographiques, les niveaux de couleur s'étendent de 0 à 300 nm. A droite, images « élastiques » à 620 kHz et 690 kHz. A 620 kHz, les zones foncées correspondent aux couches les plus souples. A 690 kHz, les zones foncées correspondent aux couches.

fréquence

### IMAGE PROCESSING FOR RESONANCE FREQUENCY MAPPING

# Maps obtained on HIPS (high-impact polystyrene)

Arinero et al., Rev. of Sc. Inst., 78, 2007



### IMAGE PROCESSING FOR RESONANCE FREQUENCY MAPPING



### IMAGE PROCESSING FOR RESONANCE FREQUENCY MAPPING



### RESONANCE TRACKING WITH PLL PII (phase locked loop)



FIG. 2. Block diagram of the UAFM for resonance frequency and Q factor mapping.



s/k=200 and Γ=0.5, 1, 2, 5, 10

$$\Omega = \omega / \sqrt{k/M}$$
$$\Gamma = \gamma / \sqrt{Mk}$$

Q~V<sub>max</sub>

Yamanaka et al. Appl. Phys. Lett., Vol. 78, No. 13, 26 March 2001



FIG. 4. Images of CFRP. (a) Topography (maximum height difference of 500 nm). (b) Resonance frequency image with a gray scale from 170 to 180 kHz. (c) Q factor image with a gray scale from 70 to 250.

# **DRFT OR DART**

# Dual ac resonance tracking (DART)

B J Rodriguez et al 2007 Nanotechnology 18



Use of 2 lock-in amplifiers

Oscillation = Sum of two frequencies  $f_1$  and  $f_2$  near to resonance

**①**Stiffness k<sub>s</sub> (before starting feedback)

$$A_1 = A_2 = A_R/2$$
  $f_c = \frac{f_1 + f_2}{2}$ 

② Stiffness k'<sub>s</sub>  $A'_1 - A'_2 > 0 : k'_s < k_s$   $A'_1 - A'_2 < 0 : k'_s > k_s$ Resonance tracking:  $\Delta f = f_1 - f_2 = constant$ Feedback loop to maintain  $A'_1 = A'_2$   $f'_c = \frac{f'_1 + f'_2}{2}$ Q calculated by damped Spring harmonic oscillator model

### **DFRT BY ZURICH INSTRUMENTS**



### **SUMMARY OF IMAGING METHODS FOR THE CR -AFM**

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iviethoas	vvnat it does	Benefits	Disadvantages		
Fixed frequency <sup>2</sup>	The cantilever response is measured at a fixed frequency, which varies as the contact resonance frequency shifts.	Simple to implement and produces elastic contrast images.	Produces only qualitative results since the frequency shift itself is not measured. Contrast is lost if the peak shifts too far from the selected frequency.		
PLL frequency tracking <sup>1</sup>	A phase-locked loop (PLL) uses the phase of the cantilever response to track the contact resonance frequency.	The actual contact resonance frequency is tracked.	Difficult to tune the PLL to achieve stable frequency tracking due to spurious phase shifts in the response. Does not measure the Q of the resonance.		
Frequency sweep (chirp) <sup>3,4,5</sup>	A frequency sweep (chirp) is done at each point. The cantilever response is Fourier analyzed to recover the full frequency response.	Measures the entire frequency response, so both the frequency and Q are obtained. Additional analysis is possible based on more complex models.	Mapping is quite slow when collecting large numbers of pixels. Each sweep must be done slowly enough for the cantilever to respond (rate limited by Q).		
DART <sup>6,7,8</sup>	The amplitude and phase response at two frequencies (bracketing the contact resonance) is measured, which enables the contact resonance to be tracked.	Provides both the contact resonance frequency and Q. The tracking is extremely fast, so DART imaging can be done at normal imaging rates.	The full response is not measured, so analysis is more limited than frequency sweep or band excitation methods.		
Band Excitation <sup>8,9</sup>	A continuous band of frequencies is excited. The cantilever response is Fourier analyzed to recover the full frequency response.	The entire frequency response is measured. By exciting the entire band at once, it is much faster than other full spectrum techniques (e.g. sweep).	Data transfer bandwidth limitations make the current implementation significantly slower than DART. Future speed improvements are possible.		

#### From Asylum (CR-AFM application note)

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# **DRFT OR DART: SOME RECENT ADVANCES**

Polystyrene polypropylene blend

PS regions:  $f_2$ =792.1 ±31.7 kHz,  $Q_2$ =37.3 ±5

PP regions:  $f_2$ =801.7 ±17.4 kHz,  $Q_2$ =18.4 ±2.7

Killgore et al, langmuir, 27, 13983, 2011

# Scan velocity effects



# DRFT OR DART: SOME RECENT ADVANCES





k and K provided by Flexural and torsional **Resonance respectively** 

#### **Reference** sample

Material	Source	М	Ν	$\nu = \frac{M - 4N}{M - 2N}$	$G=N(2-\nu)$	$E = M(1 - \nu^2)$
SiO <sub>2</sub>	Literature	74.9	17.0	0.171	31.1	72.7
Glass	Literature	84.7	18.7	0.206	33.6	81.1
Expt. $m=1$		81±5	18±2	$0.21 \pm 0.11$	32±5	76±6
I	Expt. <i>m</i> =3/2	85±8	19±3	$0.17 \pm 0.16$	35±8	$79 \pm 10$

#### «Unknown» material

Hurley and Turner, JAP, 102 (2007)



$$\frac{1}{E_s^*} = \frac{1}{M_{\rm tip}} + \frac{1}{M_s}$$

$$\frac{1}{G_s^*} = \frac{1}{N_{\rm tip}} + \frac{1}{N_s}$$

$$\nu = \frac{M - 4N}{M - 2N}$$

# DRFT OR DART: SOME RECENT ADVANCES LIQUID CR-AFM

#### Sample: glass blade





**Tung JAP 2014** 

# **DRFT OR DART: SOME RECENT ADVANCES**

a) Diagram and camera view of a photothermally driven cantilever



b) Contact tune in air



c) Contact tune in water



### Photothermally Excited Contact Resonance Imaging in Air and Water

amplitude cantilever oscillation is induced by modulating the blue laser power that is focused at the base of the cantilever

Advantage of using photothermal actuation becomes clear when the contact resonance tunes are performed in water

#### Kocun, Proksch, Asylum

# CONCLUSION



THANK YOU FOR YOUR ATTENTION